



HKICPA Seminars – Compliance Series (June - July 2017)

In 2017, HKICPA will continue to launch the Compliance Series of seminars to assist members in acquiring the relevant knowledge and updates. Experts from the legal profession will share insights and experiences in respect of matters encountered with the law enforcement or regulatory bodies in Hong Kong and mainland China.

| Topic/ Programme code | Date and time | CPD hours | Language | Speakers |
|--|--|-----------|-----------|---|
| The Updated PRC Advertising Law – Restrictions and Cases (SCPD17062102) | Wednesday, 21 June 2017 7:00 p.m. – 8:30 p.m. | 1.5 | English | Dr. Dong, Hao Donnie Registered Foreign Lawyer (PRC), Intellectual Property Group, Baker & McKenzie |
| What Professional Accountants Should Know about Financial Crimes and the Risk/ Methods of Money Laundering? (SCPD17071201) | Wednesday, 12 July 2017 6:30 p.m. – 8:30 p.m. | 2 | Cantonese | Mr. Albert So Practising Solicitor, Certified Anti-Money Laundering Specialist, Certified Financial Crime Specialist |

Venue Hong Kong Institute of CPAs,
27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Format Seminar

Fee (for each) HKICPA member or student: HK\$190 (online enrolment: HK\$180)
IA/ HKIAAT member or student: HK\$190
Non-member: HK\$330

Participants Professionals who may have to deal with law enforcement and regulatory bodies for their firms or practices

Competency* Corporate governance; Risk management, Ethics and regulations

Rating* Intermediate level

* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.



About the programme

The Updated PRC Advertising Law – Restrictions and Cases (SCPD17062102)

Course outline

Mainland China has a strict regulatory system over advertising and promotional activities. This seminar provides an overview on the system and highlights the frequently violated rules. In particular, the seminar cites actual case examples to illustrate the specific compliance requirements after the recent amendment of the PRC Advertising Law. In addition, the seminar expands the topics to the laws and regulations in relation to online advertising, unfair competition and appropriate use of trademarks in China.

After joining this seminar, participants will gain knowledge about:

- The general framework of the PRC Advertising Laws
- The frequently violated rules to prevent compliance risks in daily business operation
- The related law enforcement regimes and basic skills in responding to the enforcement actions

Speaker

Dr. Dong, Hao Donnie, Registered Foreign Lawyer (PRC), Intellectual Property Group, Baker & McKenzie

Dr. Dong has over 12 years experiences in practicing and teaching intellectual property (IP), information technology (IT) and internet laws. Experienced in both contentious and non-contentious matters, his practice covers most arenas of IP law and internet laws: copyright, trademark, patent, trade secret, unfair competition, data privacy and internet regulation compliances. Further to the private practice, Dr. Dong writes and teaches regularly. He has received two doctorate degrees from the top law schools respectively. Being a Fulbright Scholar and an alumnus fellow of the Berkman Center for Internet & Society at Harvard University, currently, he serves as a Steering Committee Member of Digital Asia Hub, a think tank collaborated by senior internet-related entrepreneurs, professionals and academics across Asia Pacific. He is also a guest lecturer for the HKU SPACE's Executive Academy, leading courses on management of culture and creative businesses.



About the programme

What Professional Accountants Should Know about Financial Crimes and the Risk/ Methods of Money Laundering? (SCPD17071201)

Course outline

This seminar aims to enable professional accountants to acquire necessary legal knowledge regarding corporate's common legal issues and daily professional practices.

After joining this seminar, participants will gain knowledge about:

- Global financial crimes and common fraud schemes (e.g. Ponzi fraud; pyramid scheme; tax evasion; securities and insurance fraud; financial statements fraud)
- Stages and methods of money laundering in different industries (e.g. banks and financial institutions, insurance, retail corporates, food, hotels, telecommunications, real estate agents, and legal and accounting professions)
- Detection and prevention of financial crimes or fraud (e.g. suspicious employee behavior; suspicious customer activities)
- Financial crime investigation (e.g. how to respond to law enforcement agencies; how to conduct effective internal investigations)
- Case studies

Speaker

Mr. Albert So

Practising Solicitor, Accredited Mediator, Accredited Family Mediator, Arbitrator of Hong Kong Mediation and Arbitration Centre, Certified Anti-Money Laundering Specialist, Certified Financial Crime Specialist

BEng(Hons); LLB(Hons); PCLL(HKU); PGCert(Cambridge); ExeEd(Harvard); MHKIoD; FHKMAAC; FHKI Arb; FRAS; Fellow of International Bar Association

Mr. So has over 15 years of professional experience in Hong Kong, China and England legal industries. He had held different in-house legal positions in global financial groups, in-charging of the global legal and compliance team and being responsible for handling various legal, compliance and litigation issues in the Asia Pacific region.

During the time of the global financial crisis, Mr. So had also been one of the investigation team members of regulatory authority, responsible for conducting investigations on suspicious fund movements, Know-Your-Client procedures, handling prosecutions and disciplinary-related professional proceedings.



To confirm your CPD booking,
just log on to "My CPA" at
<http://www.hkicpa.org.hk>

HKICPA Event Enrolment Form (For Support Programme)

Finance & Operations Department,
Hong Kong Institute of CPAs,
37/F, Wu Chung House, 213 Queen's Road East, Hong Kong

Payment & Enrolment Status Enquiry: 2287 7381
e-mail: finance@hkicpa.org.hk
Fax : 2893 9853

Course Information Enquiry:
2287 7386 / 2287 7253
e-mail: cpd@hkicpa.org.hk

FOR OFFICE USE

Deadline: 7 working days before the date of the programme

| No. | Membership | | Full Name of Participant(s) (Block Letters) | Company | Email address ⁽²⁾ (Block Letters) | Programme Code | Fee (HK\$) |
|-----|------------|---------------|--|---------|---|----------------|---------------|
| | No. | Status (1) | | | | | |
| 1 | | | | | | | |
| 2 | | | | | | | |
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| | | | | | | Total (HK\$) | |

☐ I am unemployed and not working. I am planning to rejoin the workforce.

The Institute reserves the right to allocate places to enable the enjoyment of more members in this event.

The Institute's decision is final. Applicant must submit the enrolment form 10 working days before the event.

Contact Person : _____ Tel No. : _____ Fax No. : _____ E-mail : _____

Contact information is used solely for communication of this particular event, without update to your member profile.

Payment Method (Please tick the appropriate box)

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|---|--|--|--|--|--|-------------------------|--|--|-------|------------------------------|--|
| <input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA" ⁽⁷⁾ | | | | | | | | | | | |
| <input type="checkbox"/> BOC HKICPA VISA <input type="checkbox"/> BOC HKICPA UnionPay card <input type="checkbox"/> Other VISA / MasterCard | | | | | | | | | | | |
| Card Number: | | | | | | | | | | Card Expiry Date (MM/YY): | |
| Cardholder's Name (block letters): | | | | | | Cardholder's Signature: | | | Date: | | |
| Payment receipt will be sent to your email address provided above once the payment is confirmed. | | | | | | | | | | | |

- Notes:**
- Membership Status: **NP** = Non-Practising, **P** = Practising, **IA** = International Affiliate, **S** = Student, **HKIAAT** = HKIAAT Student or Member, **GAA** = GAA Passport holder, **NM** = Non-Member.
 - Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "MyCPA" at www.hkicpa.org.hk. Unsuccessful enrolment will be notified with full refund.
 - NO ADMISSION TICKET will be issued. Please bring your HKICPA membership card or confirmation email for admission purpose.
 - All applications are on a first-come-first-served basis.
 - Application by fax will ONLY be accepted when payment is made by credit card. Cash is strictly not accepted. Please ensure all the particulars relating to payment are completed, otherwise the application cannot be processed. There is NO need to send in the enrolment form again if it has already been faxed to the Institute.
 - For credit card payment, the card should be valid with expiry date at least 1 month from the date of event.
 - Please issue SEPARATE CHEQUE for each event.
 - In normal circumstances, the event fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the event be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
 - All scheduled events will be cancelled and postponed to a date to be announced in the event of typhoon signal no. 8 or above or if a Black Rainstorm warning is hoisted. For details of bad weather arrangement for CPD programmes, please refer to the Institute's homepage.
 - The Institute reserves the right to change the venue, date, speaker or to cancel the event due to unforeseen circumstances.
 - No unauthorized audio or video recording is allowed at CPD events.

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