



## 2017 Compliance Forum

### *Better Business through Compliance*



Join members of the Compliance Department as they share knowledge, skills and tips designed to help you minimize the chance of having a complaint filed against you. This session is aimed at members with competency in audit/assurance, accounting/financial reporting and ethics regulations.

#### Highlights include:

- Panel discussion with Professional Conduct Committee member and other professional accountants
- Analysis of common pitfalls in the performance of professional work
- Tips to enhance the quality of assurance work and identify ethical issues
- Sharing of common findings from previous cases



<b>DATE:</b>	19 April 2017 (Wednesday)
<b>TIME:</b>	6:30 – 8:00 p.m.
<b>VENUE:</b>	HKICPA Training Centre, 27/F, Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong
<b>FEE:</b>	\$190 for HKICPA member \$330 for Non-member
<b>LANGUAGE:</b>	English

**Acceptance** is on a first-come, first-served basis and priority will be given to HKICPA members. An email confirmation on enrolment will be issued to participants at the latest by **18 April 2017**.

#### Payment/Enrolment Enquiry

Tel: 2287 7381  
Email: [finance@hkiipa.org.hk](mailto:finance@hkiipa.org.hk)

#### Event Information Enquiry

Tel: 2287 7067/220  
Email: [sheritam@hkiipa.org.hk](mailto:sheritam@hkiipa.org.hk)

## Deadline





Hong Kong Institute of  
Certified Public Accountants  
香港會計師公會

## Compliance Department Forum

Date: Wednesday, 19 April 2017  
Time: 6:30 p.m. – 8:00 p.m.  
Venue: HKICPA training centre, 27/F, Wu Chung House,  
213 Queen's Road East, Wan Chai, Hong Kong

(Event Code : FCPD17041901)

**Enrolment Deadline: 14 April 2017**

Finance & Operations Department  
Hong Kong Institute of CPAs  
37th Floor, Wu Chung House  
213 Queen's Road East, Wan Chai  
Hong Kong  
Fax no.: 2893 9853

### FOR OFFICE USE

Seq. no.: \_\_\_\_\_  
Handle by: \_\_\_\_\_

Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk/](http://www.hkicpa.org.hk/) Unsuccessful enrolment will be notified with full refund.

(One form for one participant)

Name: (Mr./Mrs./Ms.) \_\_\_\_\_ HKICPA Membership no.: \_\_\_\_\_  
Practice name: \_\_\_\_\_ PC Holder: ☐ Yes ☐ No  
Position held: \_\_\_\_\_ Email: \_\_\_\_\_  
Telephone no.: \_\_\_\_\_ Fax no.: \_\_\_\_\_

*\*\*Contact information is used solely for communication of this particular event, without update to your member profile*

Please put a "✓" in the appropriate box:

Admission fee: ☐ HK\$190 per person (HKICPA member or registered student)  
☐ HK\$330 per person (non-HKICPA member)

<input type="checkbox"/> Cheque (no. _____) payable to "Hong Kong Institute of Certified Public Accountants" or "HKICPA"	
<input type="checkbox"/> BOC HKICPA VISA	<input type="checkbox"/> BOC HKICPA UnionPay card
<input type="checkbox"/> Other VISA / MasterCard	
<i>**For credit card payment, the card should be valid with expiry date at least 1 month from the date of event</i>	
Card Number: _____	Card Expiry Date (MM/YY): _____
Cardholder's Name (block letters): _____	Cardholder's Signature: _____
Date: _____	
Payment receipt will be sent to your email address provided above once the payment is confirmed.	

### Notes:

- Enrolments are accepted on a first-come, first-served basis. Priority will be given to HKICPA members. No telephone reservation will be accepted.
- Full payment must be made before an application will be processed.
- Confirmation of enrolment will be sent to you via email. You can check your enrolment status at "My CPA" at [www.hkicpa.org.hk/](http://www.hkicpa.org.hk/) Unsuccessful enrolment will be notified with full refund.
- In normal circumstances, the seminar fee is non-refundable or non-transferrable upon receipt of payment by HKICPA. Should the seminar be cancelled or postponed due to unforeseeable circumstances, refund will be made according to your payment method. For credit card payment, refund will be made directly to your credit card account. For cheque payment, refund will be mailed to your correspondence address.
- If typhoon signal no.8 or above / Black rainstorm warning is hoisted at or 2:30 p.m. on the date of event, the activity will be cancelled. Your admission fee will be refunded in the event of cancellation due to bad weather.
- The Institute reserves the right to change the venue, date and / or speaker of the event due to unforeseen circumstances.

**Payment & Enrolment Status Enquiry:** 2287 7381  
Email: [finance@hkicpa.org.hk](mailto:finance@hkicpa.org.hk)

**Event Information Enquiry:** 2287 7065/220  
Email: [sheritam@hkicpa.org.hk](mailto:sheritam@hkicpa.org.hk)

**Personal Data:** Your personal data collected from the enrolment process and administration of courses/events/activities will be used for the purpose of the administration of the course on which you are enrolled. Such data collected may be accessible by the Institute's officers, persons or committees processing the application and related matters. In addition, the Institute may use the collected data for statistical research and analysis. The Institute intends to use the personal data of your name, email address and correspondence address to inform you, where relevant, of members' benefits, goods, services, facilities and events organized or provided by the Institute or other organizations. Members and registered students may opt out of receiving such materials at any time by logging in via the following link [www.hkicpa.org.hk/en/members-area/comm-preference/](http://www.hkicpa.org.hk/en/members-area/comm-preference/). Non-members may opt out of receiving such materials at any time by sending an email to the Institute at [privacyofficer@hkicpa.org.hk](mailto:privacyofficer@hkicpa.org.hk) or a letter to the Institute's privacy officer. For more information about the privacy policy of the Institute, please go to <http://www.hkicpa.org.hk/en/service-tools/privacy-policy/>

For non-member and firm payment by cheque, please fill-in your postal address for refund.

Name :	Name :
Address :	Address :