



HKICPA seminar: Implications of Revised Corporate Governance Code on Risk Management and Internal Control to Listed Companies

Program Code: SCPD17011801

Companies listed on the Stock Exchange of Hong Kong Limited have to adopt the revised Corporate Governance Code ("CG code") in relation to risk management and internal control for accounting periods beginning on or after 1 January 2016. The revised CG code has several implications to these listed companies, including disclosures in their annual reports.

What are the steps that listed companies should take in order to comply with the revised CG code? How can directors and management seize this opportunity to enhance risk management and internal control systems in order to put the companies in a better position to achieve strategic objectives? How should directors and management think beyond minimum compliance? These are questions that listed companies' directors and management need to consider going forward.

In this seminar, the speakers will cover:

- Impacts of the revised CG code in relation to risk management and internal control on Hong Kong listed companies
- Challenges that listed companies are facing in adopting the new requirements and lessons learnt from those that have successfully adopted the revised CG code
- Practical considerations in implementing enterprise risk management

Date **Wednesday, 18 January 2017**

Time **7:00 p.m. – 8:30 p.m.**

Venue Hong Kong Institute of CPAs,
27/F., Wu Chung House, 213 Queen's Road East, Wanchai, Hong Kong

Format Seminar

Language English

Fee HKICPA member or student: HK\$190 (online enrolment: HK\$180)
IA/ HKIAAT member or student: HK\$190
Non-member: HK\$330

Speakers **Ms. Alva Lee**, Partner, Advisory of KPMG China
Mr. Stephen Cheung, Associate Director, Advisory of KPMG China
(Speakers' profiles are printed overleaf)

Participants Company directors; INEDs; Finance executives; Company secretaries and those who are interested in understanding more about the requirements of the revised CG code

Competency* Corporate governance; Risk management

Rating* Intermediate level

CPD hours 1.5

* Please refer to the [Institute's online CPD Learning Resource Centre](#) for descriptions of competency and rating.

About the speakers

Ms. Alva Lee, Partner, Advisory of KPMG China

Ms. Lee has over 16 years of business and professional experience in corporate governance, board advisory, enterprise risk management (ERM) compliance, risk and control advisory experience across different industries. She has extensive experience in providing ERM advices for her clients with different levels of maturity on risk management. She facilitates her clients to set up risk management framework and policy, and define risk appetite and risk parameters. She also conducts workshops to facilitate identification of risks and controls, develops tools and templates for risk identification, assessment and documentation, as well as provides training sessions to enhance risk awareness across the organizations. Ms. Lee has board advisory responsibilities that include advising organizations of all sizes on their board and governance structures, leading corporate governance reviews, conducting board effectiveness reviews and developing and overseeing KPMG's Director Training.

Mr. Stephen Cheung, Associate Director, Advisory of KPMG China

Mr. Cheung has more than 11 years of combined external and internal audit experience. He had participated in a variety of Hong Kong IPO and other capital market transactions, and financial audit engagements with a focus on the consumer and industrial markets. Mr. Cheung had also led statutory audits for companies listed in Hong Kong. He currently leads various risk management engagements for Hong Kong listed companies for compliance with corporate governance code requirements across different industries which include property management, manufacturing and retail business. He assists clients in setting up risk management framework and policy, defines risk appetite and risk parameters, identifies risks and controls, as well as develops tools and templates for risk identification, assessment and documentation.



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